

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4026302		
<b>Application Number:</b>	10627921		
<b>International Application Number:</b>			
<b>Confirmation Number:</b>	3612		
<b>Title of Invention:</b>	METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS		
<b>First Named Inventor/Applicant Name:</b>	Steven M.H. Wallman		
<b>Correspondence Address:</b>	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US 202-220-4200 -		
<b>Filer:</b>	Shawn W. Odowd/Charisma Ward		
<b>Filer Authorized By:</b>	Shawn W. Odowd		
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<b>Application Type:</b>	Utility under 35 USC 111(a)		

### **Payment information:**

Submitted with Payment	no
<b>File Listing:</b>	

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	SubofInterview.pdf	72530 29edb5d3f1d07076d25668b10e67daaf13c c88c4	no	2

**Warnings:**

**Information:**

**Total Files Size (in bytes):**

72530

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.